

UNIT TRUST APPLICATION FORM INSTITUTIONAL INVESTORS/LEGAL ENTITIES

Boutique Collective Investments (RF) (Pty) Ltd administers the BCI unit trusts. It is authorised to do so as a Manager, in terms of the Collective Investment Schemes Control Act. In this document it will be referred to as "BCI".

IMPORTANT INFORMATION

1. Refer to www.bcis.co.za for fees and fund information.
2. Please complete all relevant sections of this application as well as Annexure A and B in order to process this investment.
3. Please read the Terms and Conditions that apply to this investment.
4. Please email the required documents in the checklist below to instructions@bci-transact.co.za or fax to (0)86 502 5319.
5. BCI will process this application when all required documents are received, and once in order we will provide you with BCI's banking details.
6. The legal owner of the investment will be the entity in whose name the investment is registered.
7. All corrections and changes to this application must be initialled by the investor.
8. A welcome pack will be forwarded to the email address provided in Section 1 within 2 business days once the investment has been finalised, thereafter you have to register on our website to download statements.

DOCUMENT CHECKLIST

- + FICA Documents as per Annexure C
- + Proof of your bank details (e.g. bank statement)
- + If a representative is acting on behalf of the entity, we need the following from the representative:
 - Completed Annexure A
 - Proof of authority (e.g. power of attorney / discretionary mandate)
 - A copy of South African green bar-coded Identity Document / Card or valid passport (if Foreign National)
- + FATCA Self Certification Annexure B

SECTION 1: INVESTOR DETAILS

Exchange control Retail Institutional (Retirement funds, Long-term insurers, Collective Investment Scheme Management companies, Investment managers)

SARB Type

Banks	Domestic Unit Trusts	Finance Companies
Foreign Unit Trusts	Household Sector (SA Individuals)	Insurers
Linked Investment Service Providers	Local Government	Non-residents
Other non-bank Financial Institutions	Pensions and Provident Funds	Private Sector non-financial Corporations
Public Sector Financial Corporations	Public Sector non-financial Corporations	Trust Companies

Other (Specify)

Exchange control Retail Institutional (Retirement funds, Long-term insurers, Collective Investment Scheme Management companies, Investment managers)

Entity Type

CIS	Close Corporation	Foreign Company
Government Entity	Listed Company	LISP/Nominee
Medical Scheme	Non-profit Organisation	Partnership
Unlisted Company	Retirement/Pension/Provident Fund	Trust
Other (specify)		

Industry Type

Agriculture, Forestry, Fishing	Construction
Corporate	Manufacturing, Wholesale, Retail
Mining & Quarrying	State Owned, Government Enterprise, Armed Forces
Real Estate	Other (specify)

Nature of Business

Registered Name

Registration/Incorporation Number / / Date of Incorporation/Registration / /

Country of Incorporation Country of Operation

Tax Number

Registered Address
(Head Office)

Postal Code

Business Operating If the same as above, please check this box

Address

Postal Code

Postal Address

If the same as registered address please check this box

If same as business address please check this box

Postal Code

Contact Details for Person at Entity

Would you like to have on-line viewing access? Yes No

Title

Surname

First Name(s)

Identification Number

Telephone (W)

Mobile

Email

Dividend Withholding Tax

SARS requires us to pay over dividend tax on your behalf where applicable. We will deduct this tax before we pay any dividends to you or re-invest these into your investment account. The rate for South African tax payers is 20% and unless we received information from you indicating other accounts held by South African trusts and partnerships will attract the default Dividend Withholding Tax rate.

Legal Entity

Please complete the following sections below in order for us to load the correct tax rate:

- + The investor is a South African registered legal entity and is exempt (Please complete the below section)
- + The investor is not registered in South Africa for tax purposes
- + Non-South African investors may qualify for a reduced tax rate. Please indicate which country you are registered in for tax purposes.
- + None of the Above (Default tax rate will apply)

Reason for Exemption

- + A company which is resident in South Africa
- + The Government, provincial government or municipality (of the Republic of South Africa)
- + A public benefit organisation (approved by SARS ito section 30(3) of the Act)
- + A trust contemplated in section 37A of the Act (mining rehabilitation trusts)
- + An institution, body, or board contemplated in section 10(1)(cA) of the Act
- + A fund contemplated in section 10(1)(d)(i) or (ii) of the Act (pension fund, pension preservation fund, provident fund, provident preservation fund, retirement annuity fund, beneficiary fund or benefit fund)
- + A person contemplated in section 10(1)(t) of the Act (Council for Scientific and Industrial Research, South African Inventions Development Corporation or the South African National Roads Agency Limited)
- + A shareholder in a registered micro business, as defined in the Sixth Schedule, paying that dividend, to the extent that the aggregate amount of dividends paid by that registered micro business to its shareholders during the year of assessment in which that dividend is paid does not exceed the amount of R200 000
- + A person who is not a resident and the dividend is a dividend contemplated in paragraph (b) of the definition of "dividend" in section 64D (i.e. a dividend on a foreign company's shares listed in SA, such as dual-listed shares)
- + Other reason (Please provide details below):

Declaration and Undertaking

Declaration in terms of sections 64FA(1)(a)(i), 64G(2)(a)(aa) or 64H(2)(a)(aa) of the Act:

I _____ (full names in print), the undersigned hereby declare that dividends paid to the investor is exempt, or would have been exempt had it not been a distribution of an asset in specie, from the dividends tax in terms of the paragraph of section 64F of the Act indicated above.

Undertaking in terms of sections 64FA(1)(a)(ii), 64G(2)(a)(bb) or 64H(2)(a)(bb) of the Act:

I _____ (full names in print), the undersigned undertake to forthwith inform BCI in writing should the circumstances of the investor referred to in the declaration above change.

ONLINE ACCESS

If you require additional person/s (other than the contact person) to have online access, please complete the online registration form at www.bcis.co.za. This will enable you to view and access the below:

- + Investment statements, tax certificates
- + Transaction confirmations when you transact on your account

